Efficient XML Storage based on DTM for Read-oriented Workloads

Graduate School of Information Science,
Nara Institute of Science and Technology

Makoto Yui

Jun Miyazaki, Shunsuke Uemura, Hirokazu Kato

Outline

❖ Motivation
❖ Related work
❖ Document Table Model (DTM)
❖ XML storage based on DTM
  – System Overview
  – Physical Layout
  – Buffer Management
❖ Experimental Evaluation
❖ Conclusions

❖ Past research topics in XML data management

Labeling and indexing XML trees
- Dewey ordering
- Ordpaths
- XR-Trees

Join processing
- Structural-joins
- Twig-joins

Well studied topics

Indexing on paths
- XRel
- Index Fabric

→ Towards efficient XML data processing

Internal data model
- Relational
- Hybrid (SystemRX)
- Tree (e.g., DOM)

Buffer management

Less studied topics

Physical data layout
- Natix

Our focus

3

Motivation – Design goals

❖ Design an **XML storage scheme** optimized
for read-oriented workload

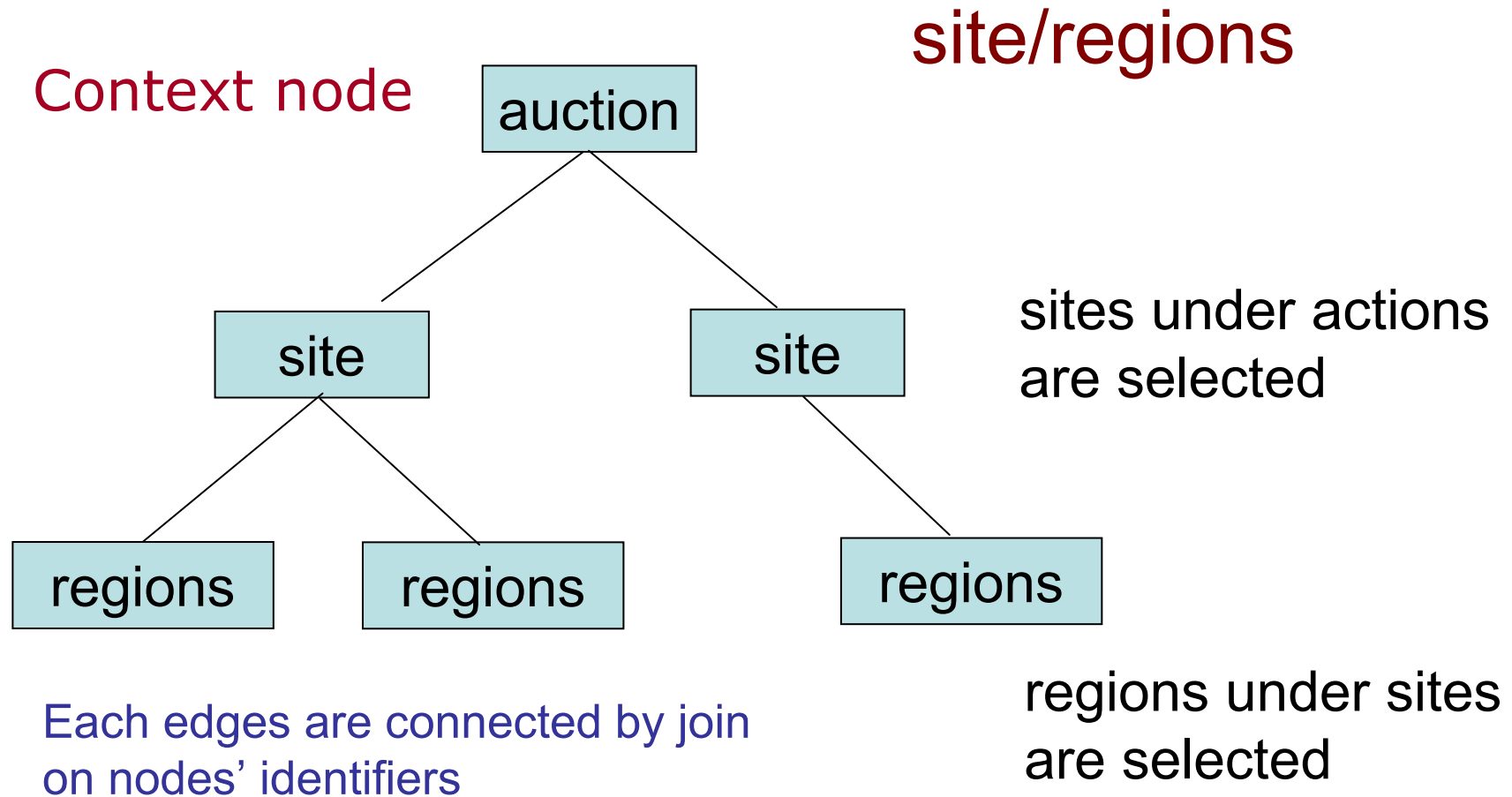   – Node-level update is not always required

    – Updating capabilit

The design of read-o   ested for
relational databases   es yet

> The reason we selected this model is set-at-a-time processing of XQuery involves lots of joins, and it causes performance deteriation.

❖ Focus on **iterative XQuery processing**
in which an operator tree consists of iterators

    – Ideal XML storage scheme depends on the processing model
(e.g., tuple-at-a-time or set-at-a-time)

> How the data access is achieved for each
of the two processing model ?

Norman May, et al., Index vs. Navigation in XPath Evaluation. XSym 2006 4

Tree traversal of set-at-a-time processing

site/regions

Context node

auction

site   site

regions   regions   regions

sites under actions
are selected

Each edges are connected by join
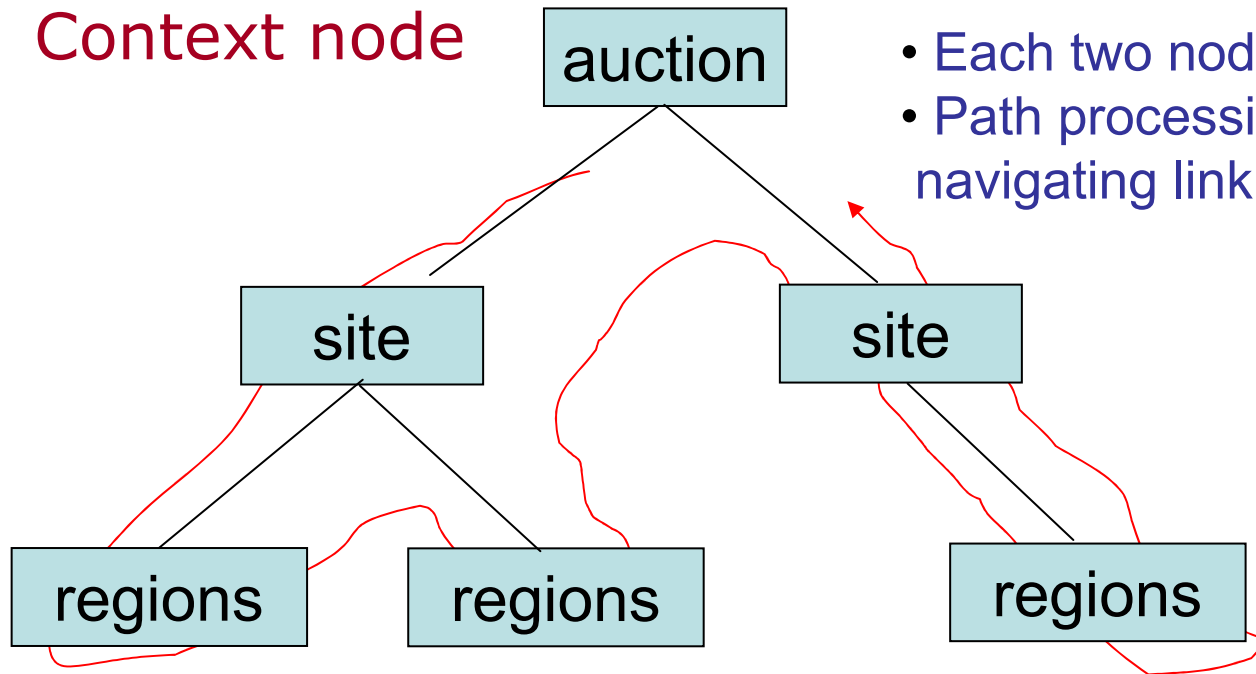on nodes' identifiers

regions under sites
are selected

Traversed in a breadth-first search manner

Tree traversal of tuple-at-a-time processing

site/regions

Context node

auction

- Each two nodes are connected by links
- Path processing is achieved by navigating link edges

site

site

regions

regions

regions

Traversed in a depth-first search manner

It is as same manner as a document ordering

Motivation

❖ Design an **XML storage scheme** optimized for read-oriented workload

❖ Focus on **iterative XQuery processing** in which an operator tree consists of iterators

We examined **actual data access patterns** when evaluating XQuery queries **in order to design the suitable data layout**

Outline

❖ Motivation
❖ Related Work
❖ Document Table Model (DTM)
❖ XML Storage based on DTM (pDTM)
 – System Overview
 – Pyshical Layout
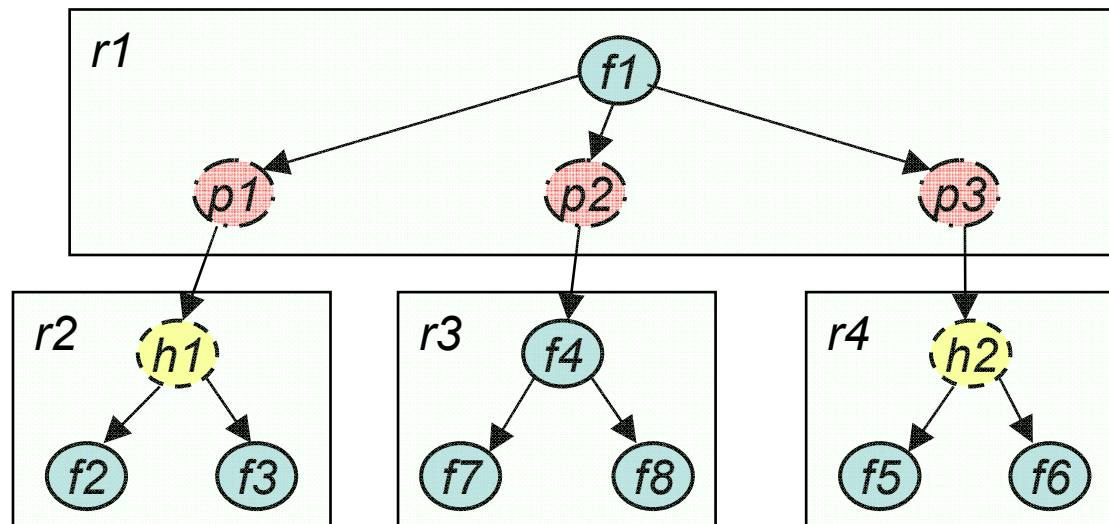 – Buffer Management
❖ Experimental Evaluation
❖ Conclusions

Natix (University of Mannheim, Germany)

T. Fiebig, et.al. Anatomy of a Native XML Base Management System.
VLDB Journal, 2002.

Allocates a page based on subtrees

❖ Pros   Effective for breadth-first traversals

❖ Cons   Not effective for depth-first traversals
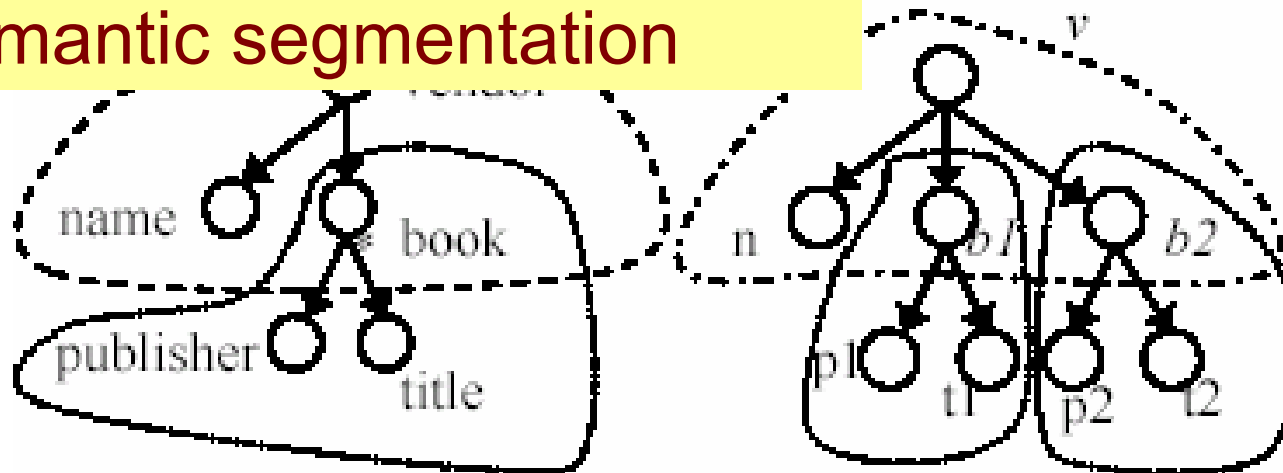

P **Proxy Node**   h **Helper aggregate nodes**:

OrientStore (Renmin University, China)

X. Meng et.al. OrientStore: A Schema Based Native XML Storage System. VLDB 2003.

❖ **Pros**
- Effective for path processing

❖ **Cons**
- Schema information is required
- Not effective for serialization and ~~ion~~

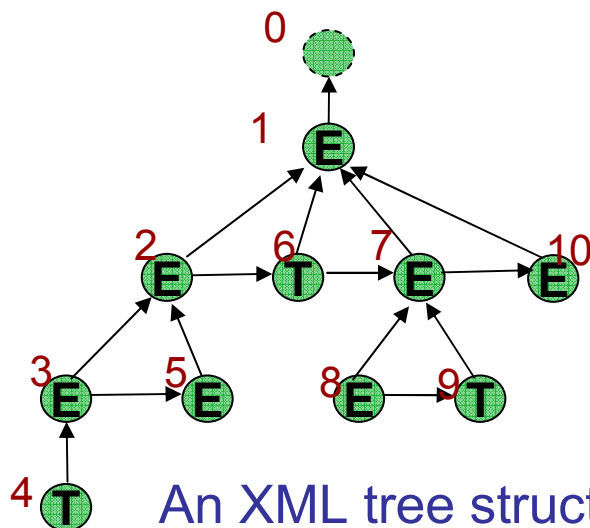Clusters records according to a semantic segmentation

a) Semantic Blocks   b) Records

Outline

❖ Motivation
❖ Related work
❖ Document Table Model (DTM)
❖ XML Storage based on DTM (pDTM)
 – System Overview
 – Pyshical Layout
 – Buffer Management
❖ Experimental Evaluation
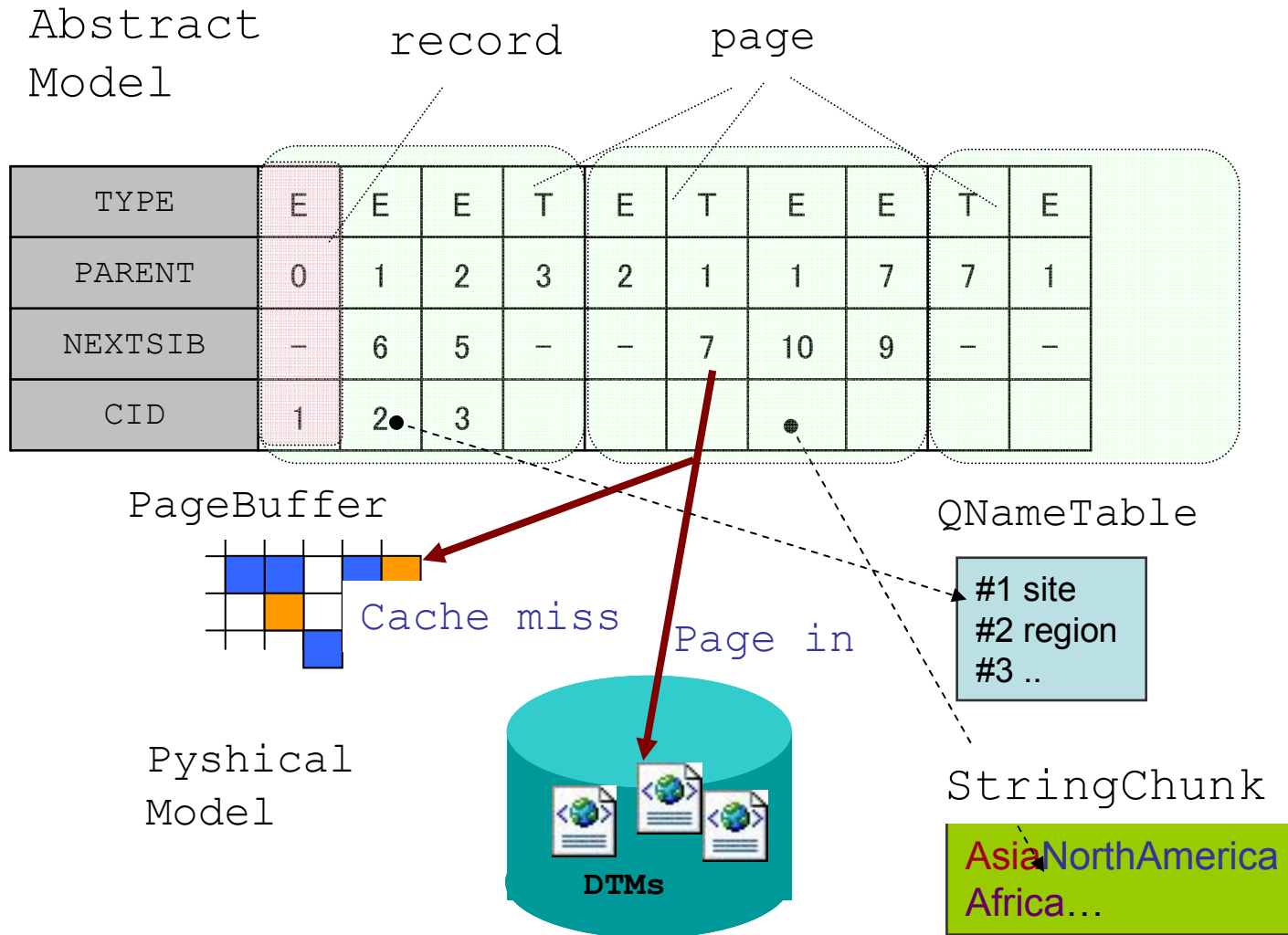❖ Conclusions

Document Table Model (DTM)

❖ Originally used by Apache Xalan XSLT processor

❖ Expresses an XML document as a table form

▪ **DOM has object footprints**
(e.g., object instantiation and memory consumptions)

▪ **DTM can avoid such object footprints**
DTM table consists of primitive data types

	1	2	3	4	5	6	7	8	9	10
Event	E	E	E	T	E	T	E	E	T	E
PARENT	0	1	2	3	2	1	1	7	7	1
NEXTSIB	-	6	5	-	-	7	10	9	-	-
CID										

An XML tree structure can be represented as a table
by using link values

Abstract Model record page

TYPE	E	E	E	T	E	T	E	E	T	E
PARENT	0	1	2	3	2	1	1	7	7	1
NEXTSIB	–	6	5	–	–	7	10	9	–	–
CID	1	2●	3				●			

PageBuffer

Cache miss

Page in

Pyshical Model

DTMs

QNameTable

#1 site
#2 region
#3 ..

StringChunk

AsiaNorthAmerica
Africa…

Pyshical layout

Analyzing data access patterns

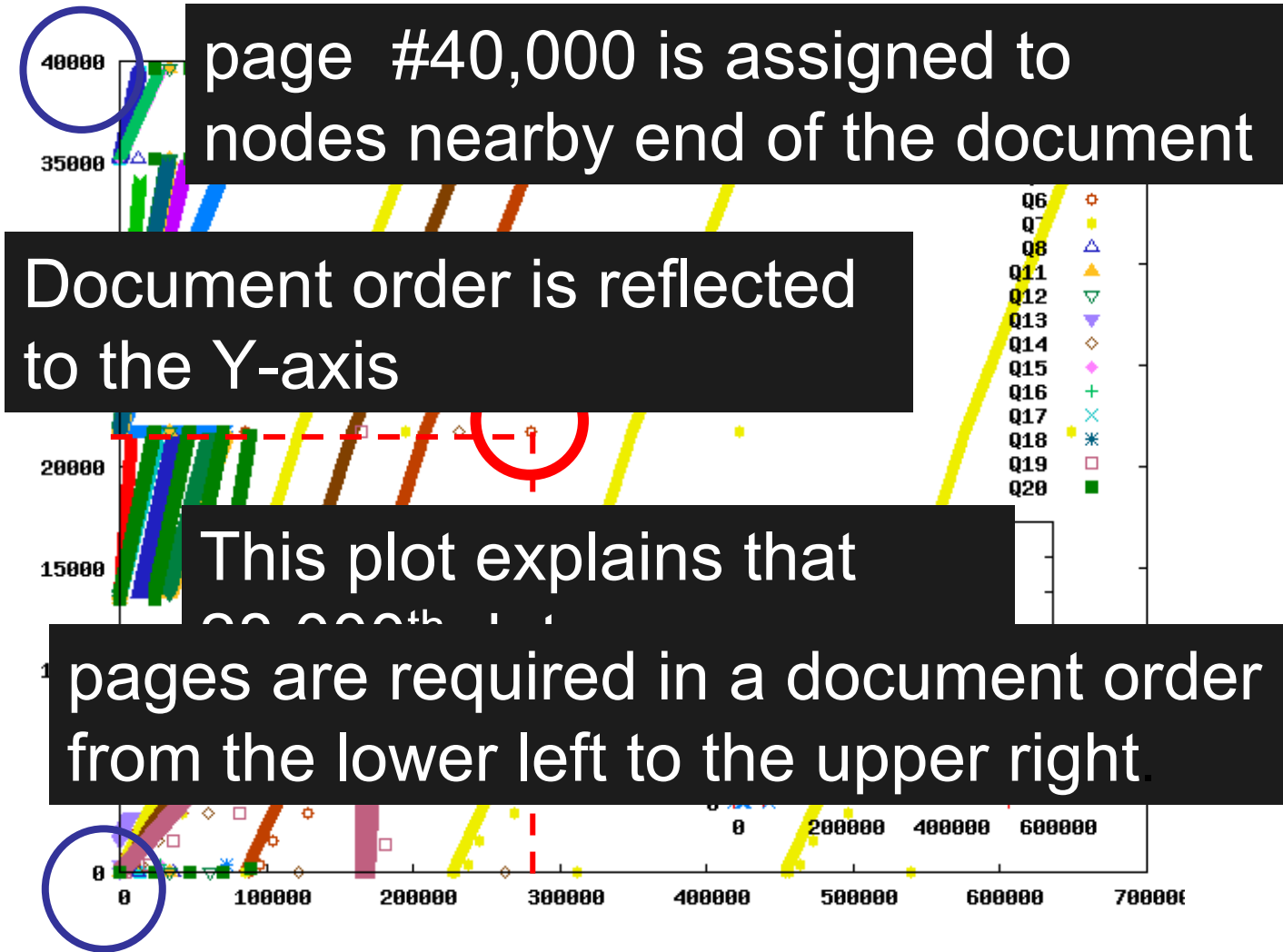❖ Before designing physical layout of XML documents, we analyzed actual data access patterns of XQuery queries.

In general,

- Pages are required in the document-order
- Sequential accesses are frequently appeared

Required Page

page #40,000 is assigned to nodes nearby end of the document

Document order is reflected to the Y-axis

This plot explains that

pages are required in a document order from the lower left to the upper right.

page #0 is assigned to nodes nearby the root

Access count

15

Recall that we claimed that tuple-at-a-time processing of XPath queries, in general, traverses XML-tree according to the document-ordering.

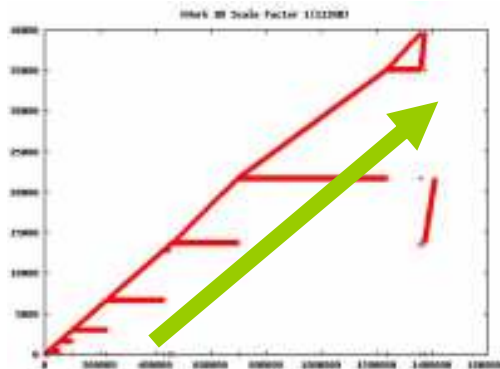**Tree traversal of tuple-at-a-time processing**

site/regions

Context node | auction
- Each two nodes are connected
- Path processing is achieved
navigating link edges

site | site

regions | regions | regions

Traversed in a depth-first search manner

It is as same manner as a document ordering

XQuery FLWR queries also shows the similar access patterns with few exceptions where queries contains lots of backward axes.

Note that the overall tendency is not restricted to XMark queries but also other benchmark queries.

16

Pyshical layout

❖ Pages are required in a document-order
❖ Sequential accesses are frequently appeared

⬇

🎏 Document-ordered block allocation is suitable

🎏 Prefetching is effective

The prefetching entries can compete
for hot cache entries

We conducted informed prefetching with
scan-resistant buffer management

🎏 Scan-resistant buffer management

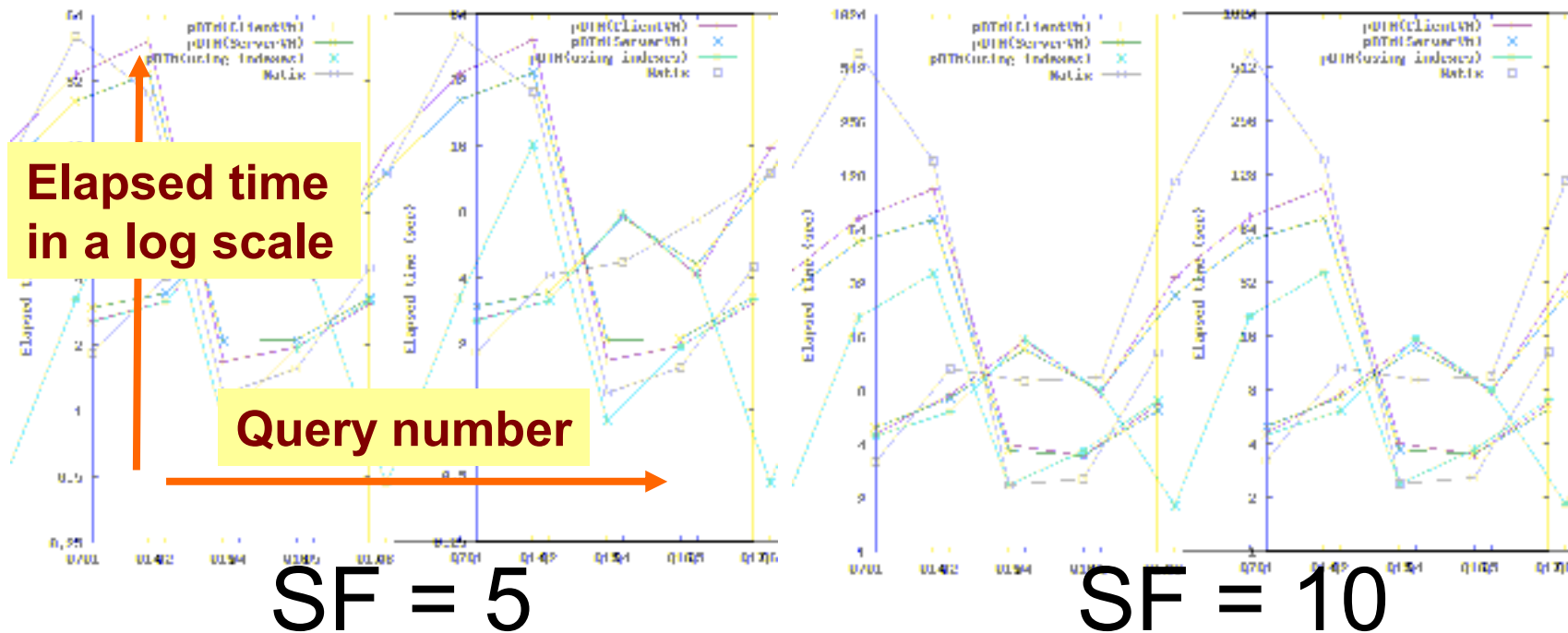Is also effective to sequential scans in XML query processing

Outline

❖ Motivation
❖ Related work
❖ Document Table Model (DTM)
❖ XML Storage based on DTM (pDTM)
  – System Overview
  – Pyshical Layout
  – Buffer Management
❖ Experimental Evaluation
❖ Conclusions

Experimental evaluation

❖ **Compared to Natix version 2.1.1** where XMark SF = 5 and SF = 10

❖ **Experimental settings**

Today's normal PC setting

CPU	Intel Pentium D 2.8GHz
OS	SuSE Linux 10.2 (Kernel 2.6.18)
RAM	2GB
Hard Disk	SATA 7200rpm
Java	Sun JDK 1.6
JVM option	-server -Xms1400m -Xmx1400m

Elapsed time in a log scale

Query number

SF = 5

SF = 10

Our method is effective for IO-intensive workloads

Has following-sibling

Contains "//"

Natix showed better performance for breadth-first traversals (e.g., following-sibling)

Queries whose outputs are large

20

Conclusions

Summary

❖ Proposed an efficient XML storage scheme
base on DTM for iterative XQuery processing

❖ Our approach is effective for IO-intensive workloads
such as queries including '//'.
  ❖ Document-ordered block allocation
  ❖ Informed prefetching and scan-resistant caching

Future work

❖ Automatic database tuning based on online
analysis of data access patterns
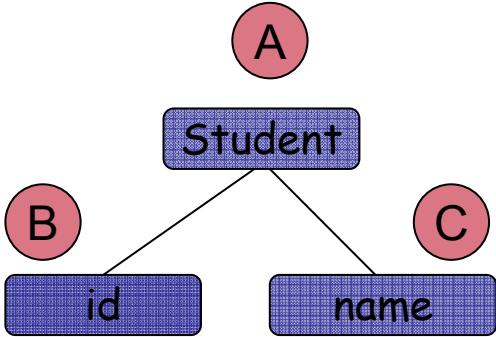(e.g., buffer replacement policy and prefetching)

Thank you for your attention!

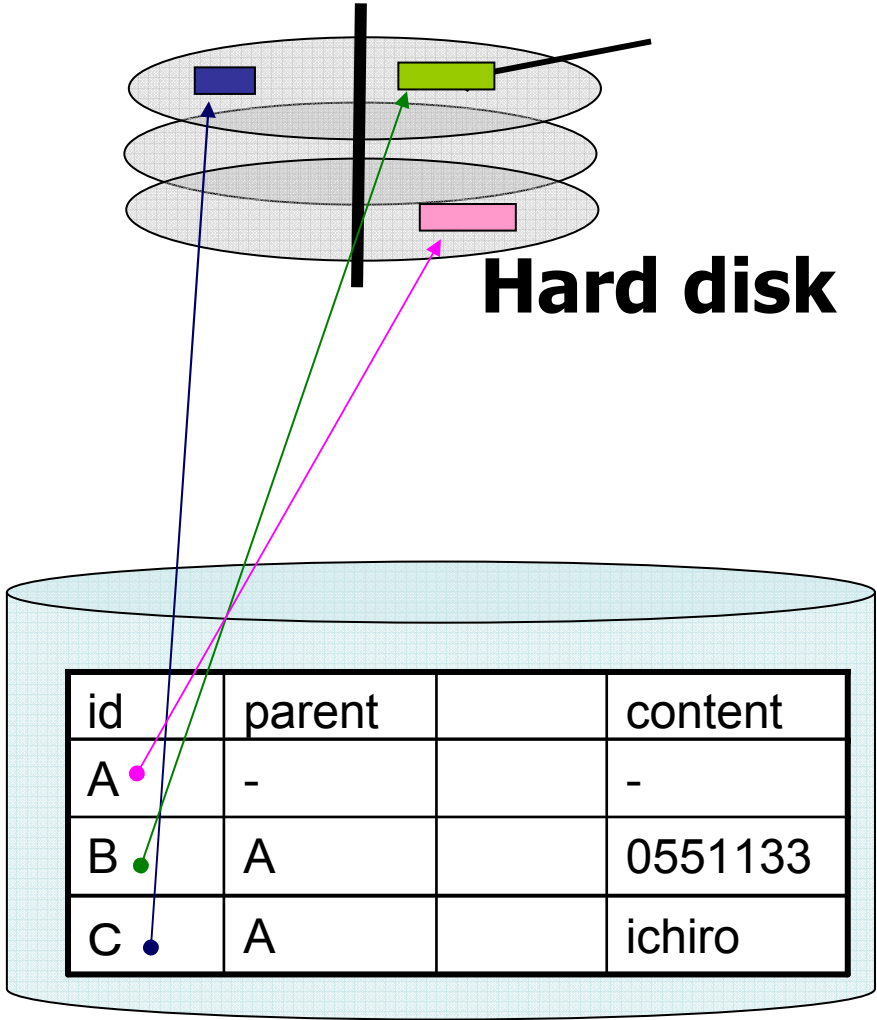Questions?

Problem in XML-Relational mapping

XML

```
<student>
  <id>0551133</id>
  <name>ichiro</name>
</student>
```

XML Tree

Mapping

Hard disk

id	parent		content
A	-		-
B	A		0551133
C	A		ichiro

Relational Table

23

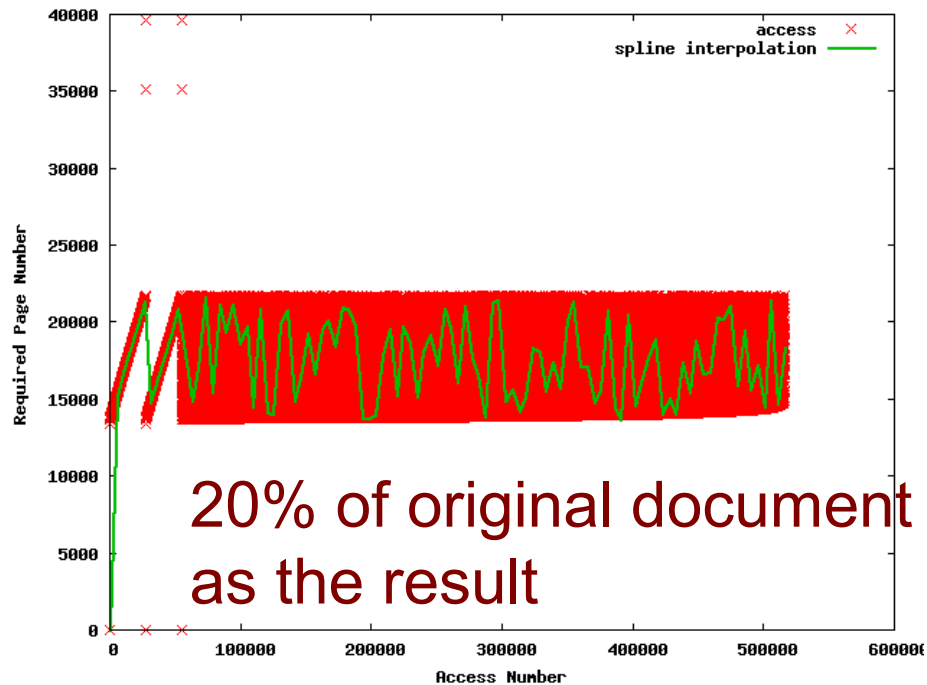| TYPE	R	E	E	E	T	E	T	E	E	T	E	E
PARENT	–	0	1	2	3	2	1	1	7	7	1	2
NEXTSIB	–	–	6	4	–	–	7	–	9	–	–	4
CID	–	1	2	3	0							3

When accessing to a record,

Logical address ➡ Physical address

For updating facilities, we change this method as follows:

Logical address ➡ ➡ Physical address

Address conversion table

24

Buffer management (XMark Q10 as an example)

20% of original document is returned as the result

```
let $auction := fn:doc("auction.xml")
return
  for $i in distinct-values($auction/site/people/person/profile/interest/@category)
  let $p := for $t in $auction/site/people/person
            where $t/profile/interest/@category = $i
            return
              <personne>
                <statistiques>
                  <sexe>{ $t/profile/gender/text() }</sexe>
                  <age>{ $t/profile/age/text() }</age>
                  <education>{ $t/profile/education/text() }</education>
                  <revenu>{ fn:data($t/profile/@income) }</revenu>
                </statistiques>
                <coordonnees>
                  <nom>{ $t/name/text() }</nom>
                  <rue>{ $t/address/street/text() }</rue>
                  <ville>{ $t/address/city/text() }</ville>
                  <pays>{ $t/address/country/text() }</pays>
                  <reseau>
                    <courrier>{ $t/emailaddress/text() }</courrier>
                    <pagePerso>{ $t/homepage/text() }</pagePerso>
                  </reseau>
                </coordonnees>
                <cartePaiement>{ $t/creditcard/text() }</cartePaiement>
              </personne>
  return <categorie>{ <id>{ $i }</id>, $p }</categorie>
```

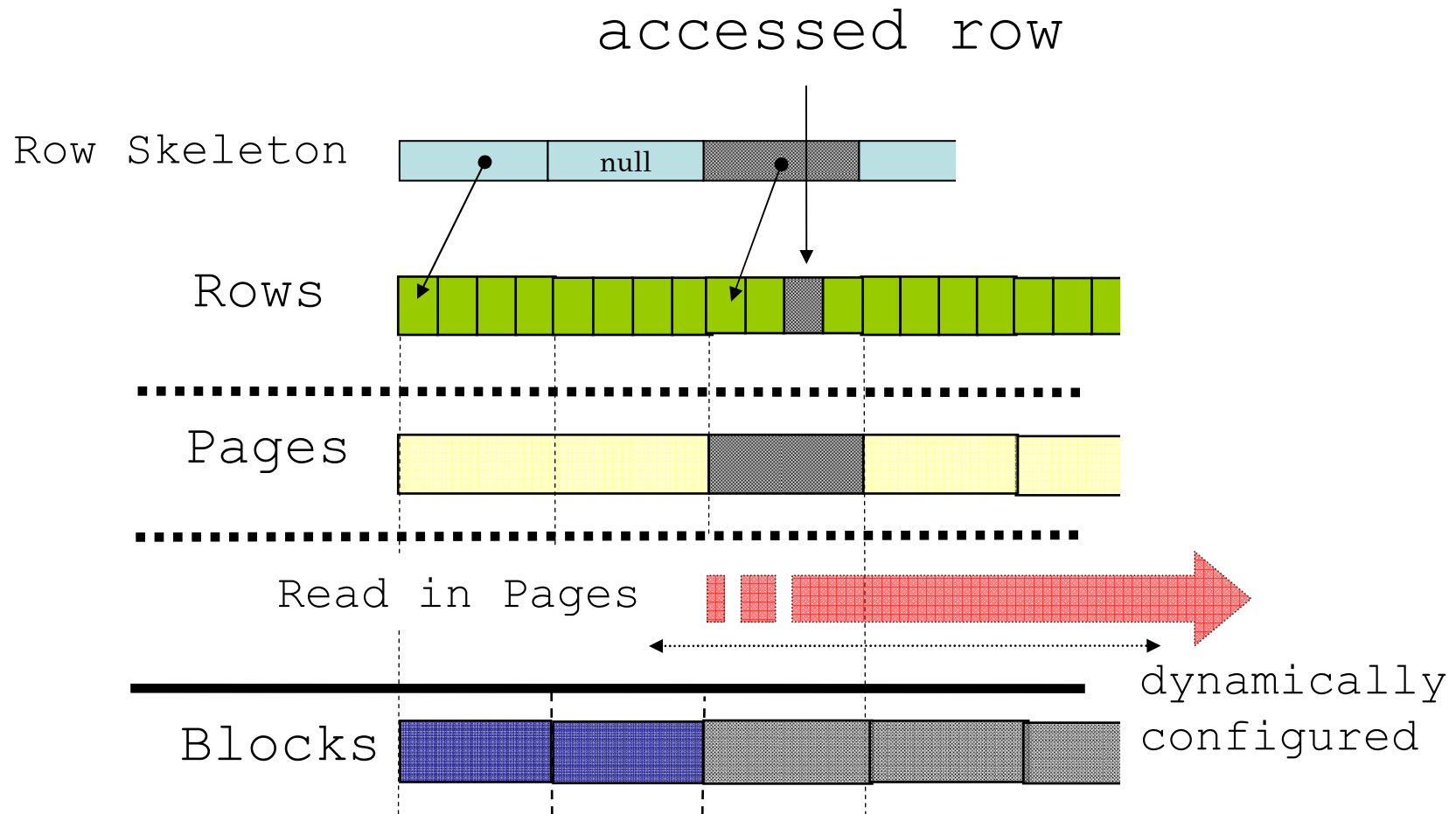|	Elapsed time (msec)	total read blocks	buffer replacement
LRU	211.83	1,919,586	567,702
2Q	185.56	80,673	0

14.2% speedups

```
let $auction := fn:doc("auction.xml")
return
 for $i in distinct-values($auction/site/people/person/profile/interest/@category)
 let $p := for $t in $auction/site/people/person
        where $t/profile/interest/@category = $i
        return
          <personne>
           <statistiques>
            <sexe>{ $t/profile/gender/text() }</sexe>
            <age>{ $t/profile/age/text() }</age>
            <education>{ $t/profile/education/text() }</education>
            <revenu>{ fn:data($t/profile/@income) }</revenu>
           </statistiques>
           <coordonnees>
            <nom>{ $t/name/text() }</nom>
            <rue>{ $t/address/street/text() }</rue>
            <ville>{ $t/address/city/text() }</ville>
            <pays>{ $t/address/country/text() }</pays>
            <reseau>
             <courrier>{ $t/emailaddress/text() }</courrier>
             <pagePerso>{ $t/homepage/text() }</pagePerso>
            </reseau>
           </coordonnees>
           <cartePaiement>{ $t/creditcard/text() }</cartePaiement>
          </personne>
 return <categorie>{ <id>{ $i }</id>, $p }</categorie>
```
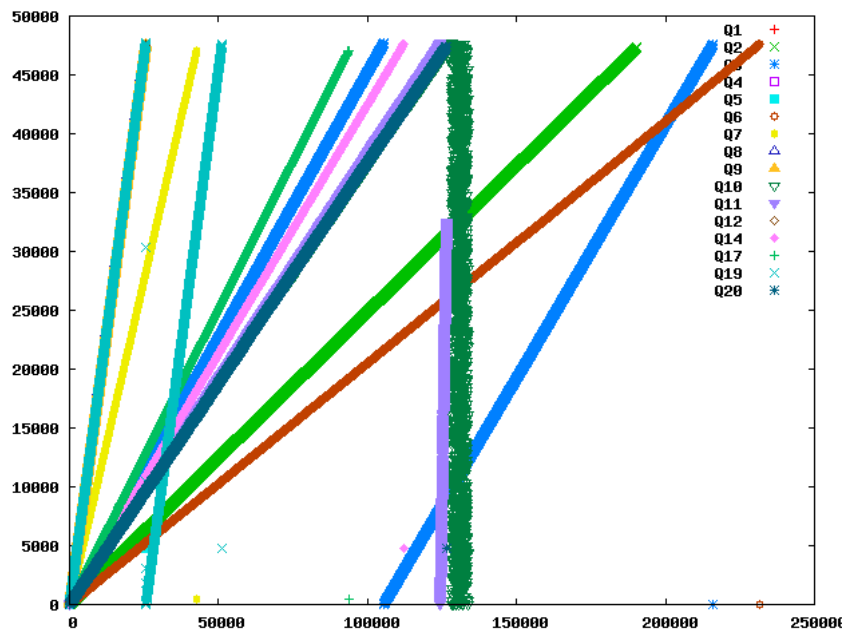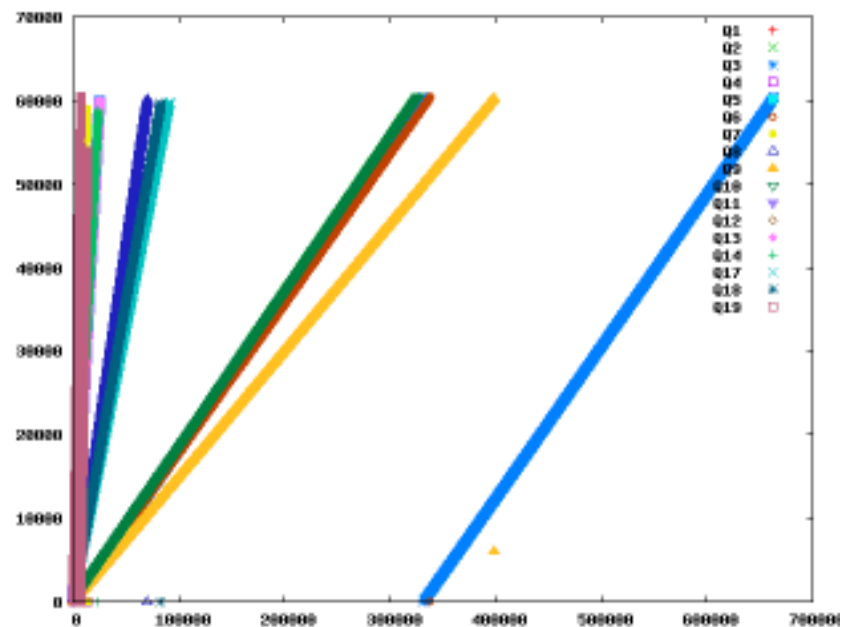
26

Pyshical layout

accessed row

Row Skeleton

null

Rows

Pages

Read in Pages

dynamically
configured

Blocks

Access pattern analysis of XBench queries

DC/SD Normal

TC/SD Normal

Memory Mapped DTM

```
#include <sys/mman.h>

void *mmap(void *start, size_t length, int prot, int flags,
        int fd, off_t offset);

int munmap(void *start, sizt_t length);
```

We present a memory mapped scheme
extending the DTM model, it has boost
the performance significantly.

Efficient XML Storage based on DTM for Read-oriented Workloads

Graduate School of Information Science,
Nara Institute of Science and Technology

Makoto Yui

Jun Miyazaki, Shunsuke Uemura, Hirokazu Kato

Outline

- ❖ **Motivation**
- ❖ Related work
- ❖ Document Table Model (DTM)
- ❖ XML storage based on DTM
  - System Overview
  - Physical Layout
  - Buffer Management
- ❖ Experimental Evaluation
- ❖ Conclusions

Motivation - Backgrounds

❖ Past research topics in XML data management

Labeling and indexing XML trees
- Dewey ordering
- Ordpaths
- XR-Trees

Join processing
- Structural-joins
- Twig-joins

Well studied topics

Indexing on paths
- XRel
- Index Fabric

Towards efficient XML data processing

Internal data model
- Relational
- Hybrid (SystemRX)
- Tree (e.g., DOM)

Buffer management

Less studied topics

Physical data layout
- Natix

Our focus

Motivation – Design goals

❖ Design an **XML storage scheme** optimized
   for read-oriented workload

   – Node-level update is not always required

   – Updating capabilit
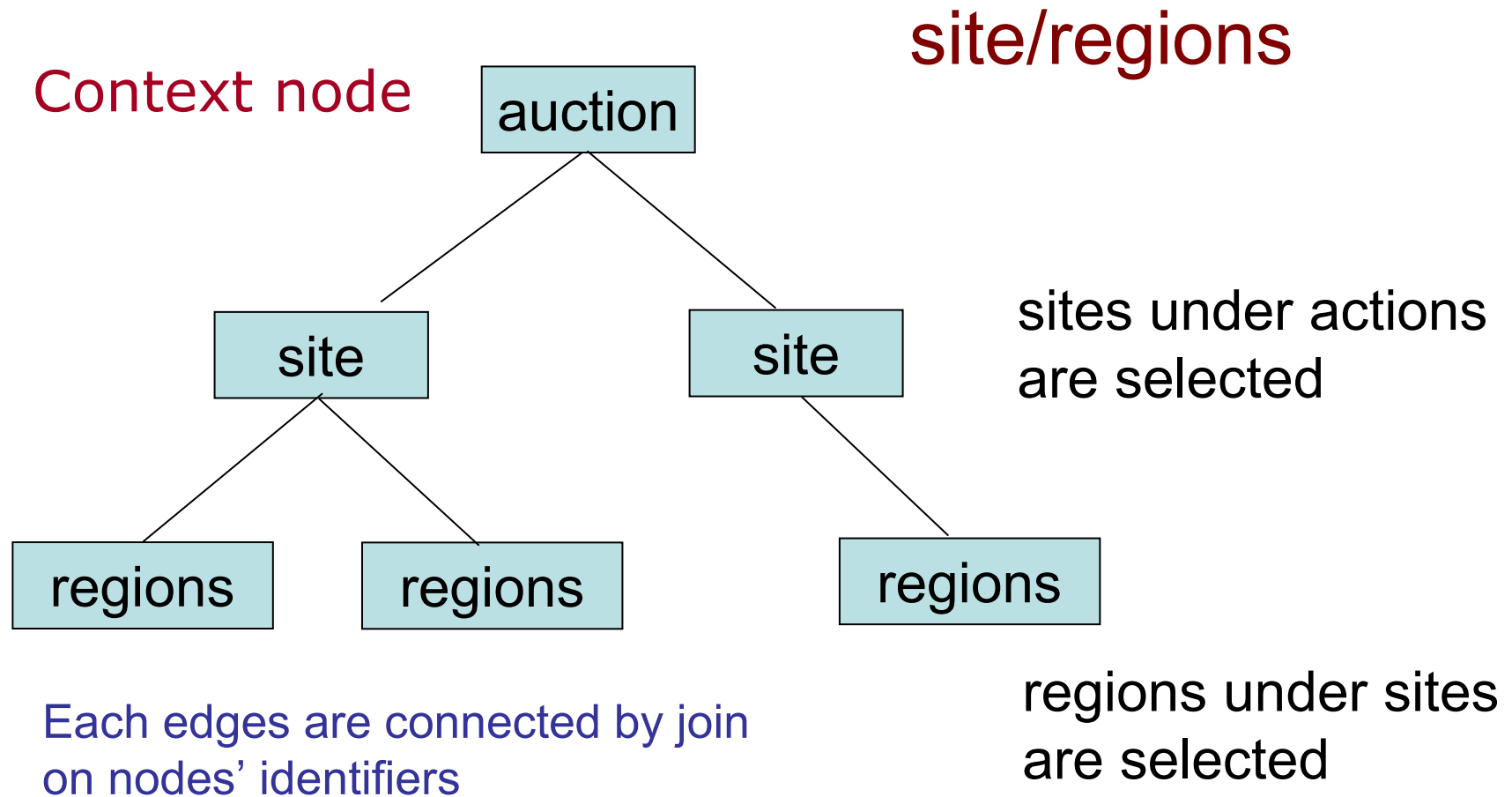
   The design of read-o   sted for
   relational databases   es yet

   > The reason we selected this model is
   > set-at-a-time processing of XQuery
   > involves lots of joins, and it causes
   > performance deteriation.

❖ Focus on **iterative XQuery processing**
   in which an operator tree consists of iterators

   – Ideal XML storage scheme depends on the processing model
     (e.g., tuple-at-a-time or set-at-a-time)

   > How the data access is achieved for each
   > of the two processing model ?

Norman May, et al., Index vs. Navigation in XPath Evaluation. XSym 2006 4

Tree traversal of set-at-a-time processing

Context node

site/regions

auction

site

site

sites under actions are selected

regions

regions

regions

Each edges are connected by join on nodes' identifiers
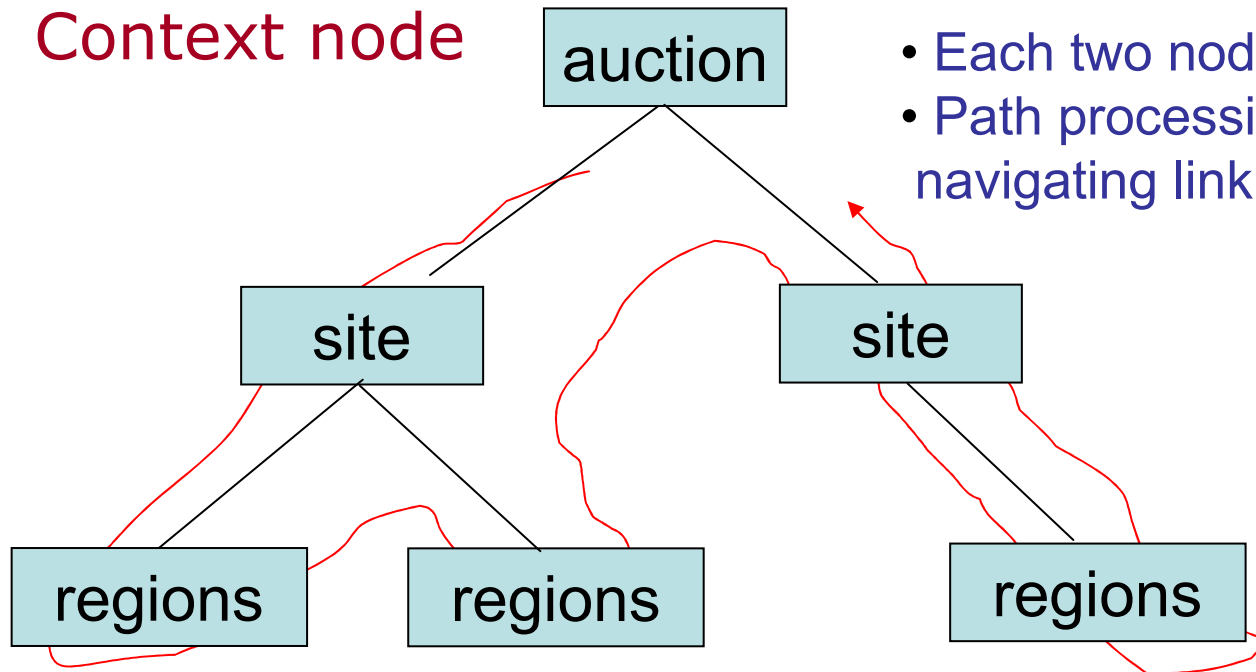
regions under sites are selected

Traversed in a breadth-first search manner

Tree traversal of tuple-at-a-time processing

site/regions

Context node

auction

- Each two nodes are connected by links
- Path processing is achieved by navigating link edges

site

site

regions

regions

regions

Traversed in a depth-first search manner

It is as same manner as a document ordering

Motivation

❖ Design an **XML storage scheme** optimized for read-oriented workload

❖ Focus on **iterative XQuery processing** in which an operator tree consists of iterators

We examined **actual data access patterns** when evaluating XQuery queries **in order to design the suitable data layout**

Outline

❖ Motivation
❖ Related Work
❖ Document Table Model (DTM)
❖ XML Storage based on DTM (pDTM)
  – System Overview
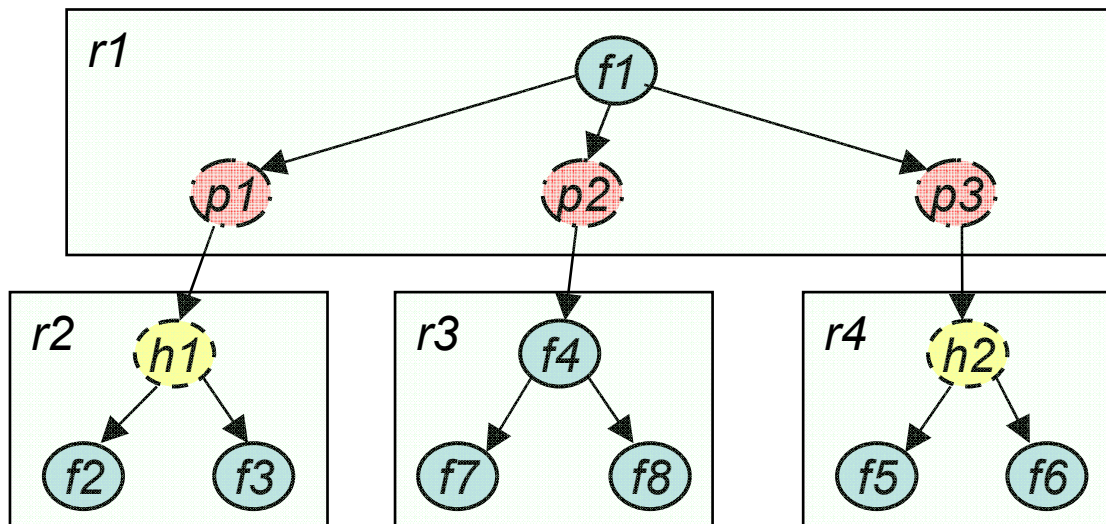 – Pyshical Layout
  – Buffer Management
❖ Experimental Evaluation
❖ Conclusions

Related work (1) storing scheme based on subtrees

Natix (University of Mannheim, Germany)

T. Fiebig, et.al. Anatomy of a Native XML Base Management System.
VLDB Journal, 2002.

Allocates a page based on subtrees

❖ Pros   Effective for breadth-first traversals

❖ Cons   Not effective for depth-first traversals
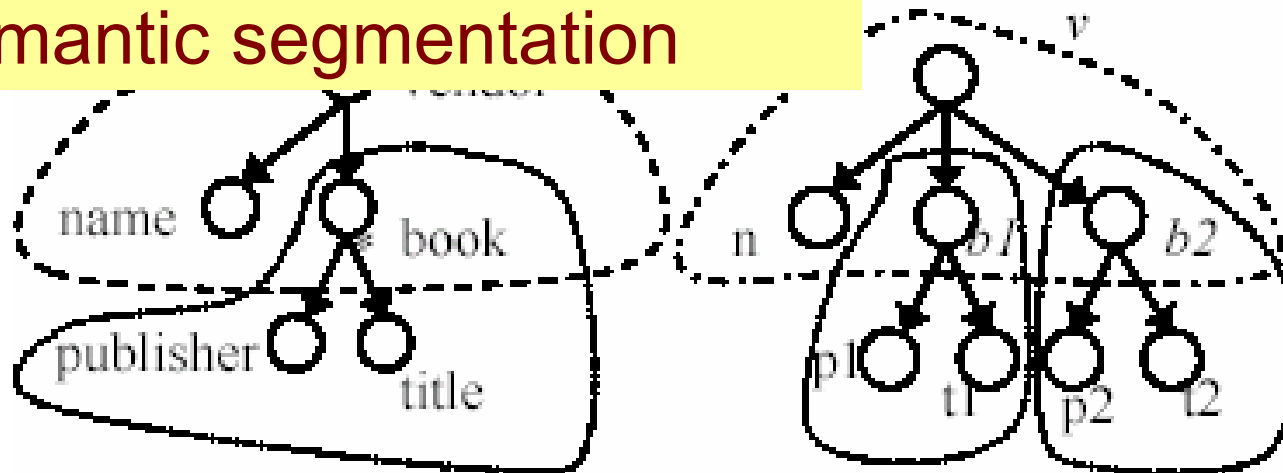

P **Proxy Node**   h **Helper aggregate nodes**:

OrientStore (Renmin University, China)

X. Meng et.al. OrientStore: A Schema Based Native XML Storage System. VLDB 2003.

❖ Pros
  • Effective for path processing

❖ Cons
  • Schema information is required
  • Not effective for serialization and ion

Clusters records according to a semantic segmentation
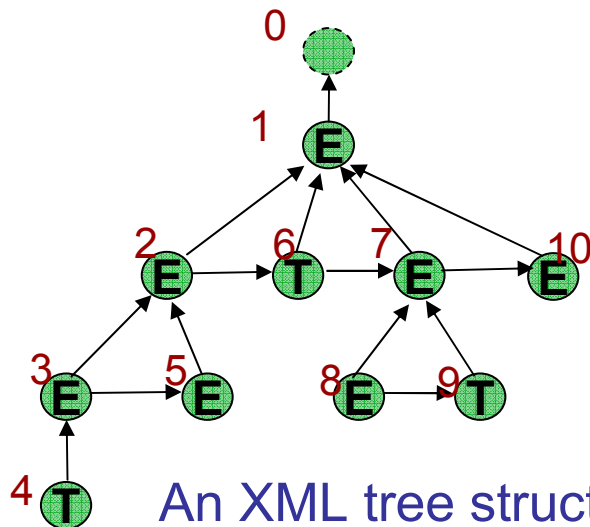

a) Semantic Blocks   b) Records

10

Outline

❖ Motivation

❖ Related work

❖ Document Table Model (DTM)

❖ XML Storage based on DTM (pDTM)

- System Overview
- Pyshical Layout
- Buffer Management

❖ Experimental Evaluation

❖ Conclusions

Document Table Model (DTM)
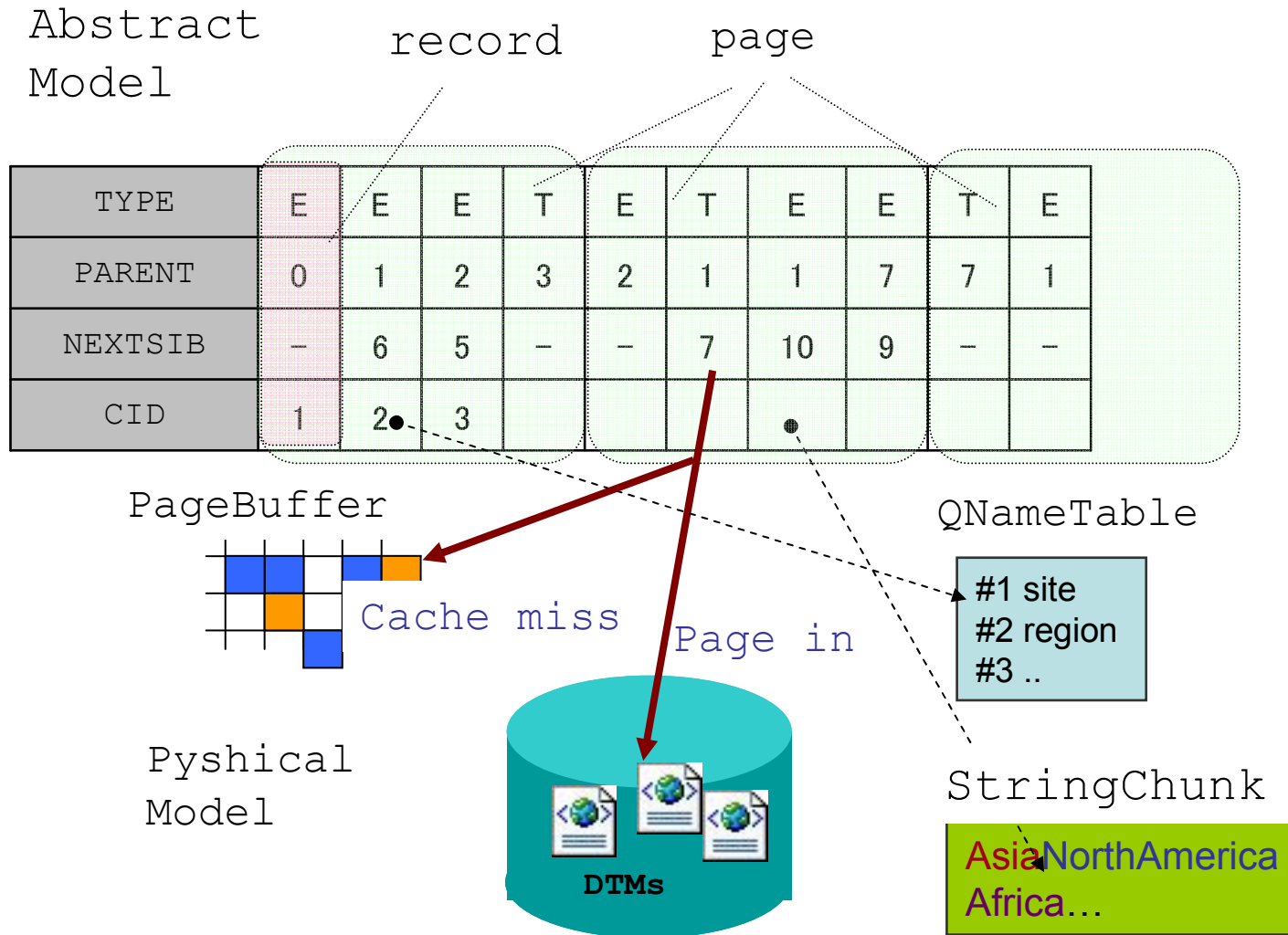
❖ Originally used by Apache Xalan XSLT processor

❖ Expresses an XML document as a table form

▪ **DOM has object footprints**
(e.g., object instantiation and memory consumptions)

▪ **DTM can avoid such object footprints**
DTM table consists of primitive data types

		1	2	3	4	5	6	7	8	9	10
Event		E	E	E	T	E	T	E	E	T	E
PARENT		0	1	2	3	2	1	1	7	7	1
NEXTSIB		-	6	5	-	-	7	10	9	-	-
CID											

An XML tree structure can be represented as a table
by using link values

System Overview

Abstract
Model

record   page

TYPE	E	E	E	T	E	T	E	E	T	E
PARENT	0	1	2	3	2	1	1	7	7	1
NEXTSIB	–	6	5	–	–	7	10	9	–	–
CID	1	2	3							

PageBuffer

Cache miss

Page in

Pyshical
Model

DTMs

QNameTable

#1 site
#2 region
#3 ..

StringChunk

Asia NorthAmerica
Africa…

Pyshical layout

Analyzing data access patterns

❖ Before designing physical layout of XML documents, we analyzed actual data access patterns of XQuery queries.
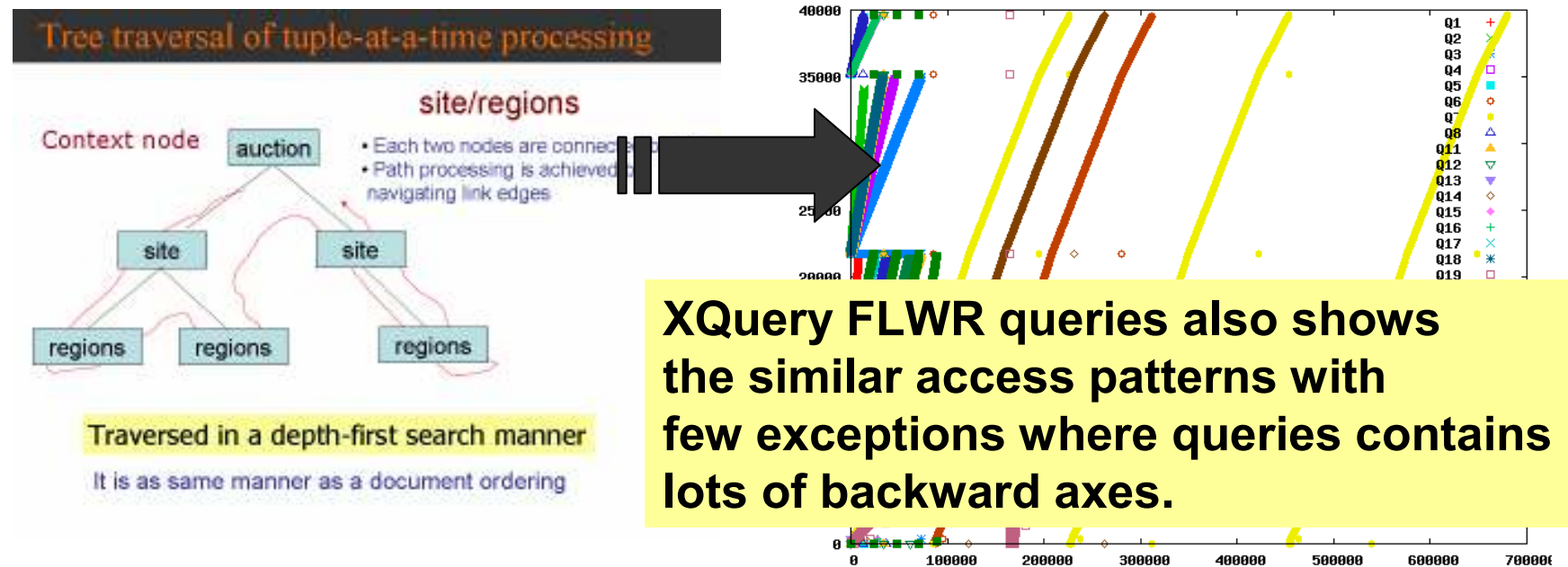
In general,

▪ Pages are required in the document-order
▪ Sequential accesses are frequently appeared

Access pattern analysis of XMark queries (1)

page #40,000 is assigned to nodes nearby end of the document

Document order is reflected to the Y-axis

This plot explains that
~~~~~~~~~~
pages are required in a document order from the lower left to the upper right.

Required Page

page #0 is assigned to nodes nearby the root

Access count

15

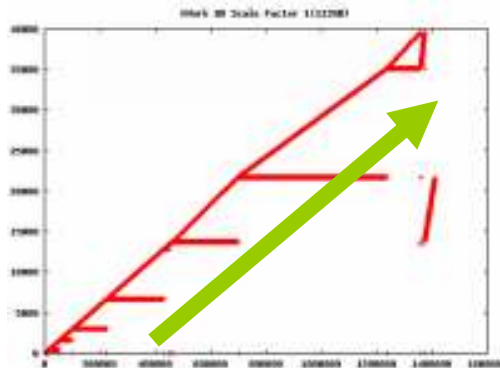Recall that we claimed that tuple-at-a-time processing of XPath queries, in general, traverses XML-tree according to the document-ordering.

XQuery FLWR queries also shows the similar access patterns with few exceptions where queries contains lots of backward axes.

Note that the overall tendency is not restricted to XMark queries but also other benchmark queries.

16

Pyshical layout

❖ Pages are required in a document-order
❖ Sequential accesses are frequently appeared

⬇

✤ Document-ordered block allocation is suitable

✤ Prefetching is effective

The prefetching entries can compete
for hot cache entries

We conducted informed prefetching with
scan-resistant buffer management

✤ Scan-resistant buffer management

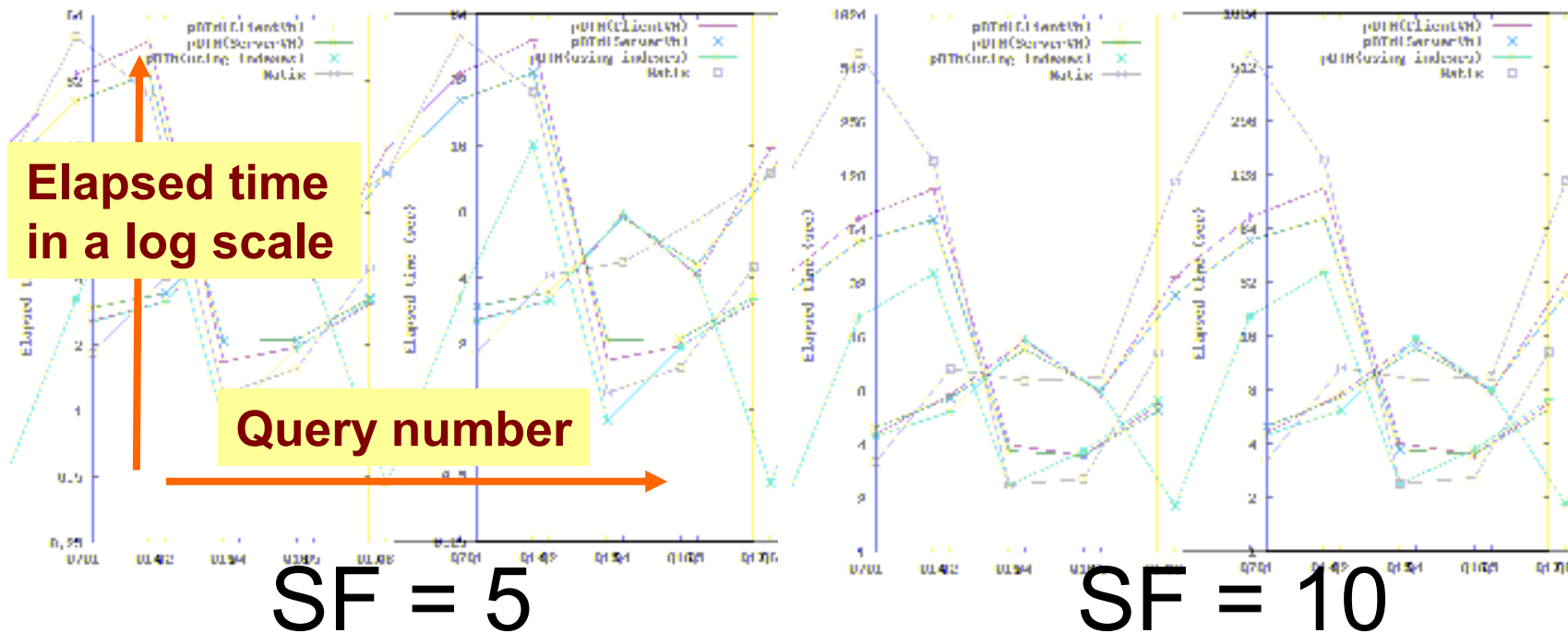Is also effective to sequential scans in XML query processing

Outline

❖ Motivation
❖ Related work
❖ Document Table Model (DTM)
❖ XML Storage based on DTM (pDTM)
  – System Overview
  – Pyshical Layout
  – Buffer Management
❖ Experimental Evaluation
❖ Conclusions

Experimental evaluation

❖ **Compared to Natix version 2.1.1** where XMark SF = 5 and SF = 10

❖ **Experimental settings**

Today's normal PC setting

CPU	Intel Pentium D 2.8GHz
OS	SuSE Linux 10.2 (Kernel 2.6.18)
RAM	2GB
Hard Disk	SATA 7200rpm
Java	Sun JDK 1.6
JVM option	-server -Xms1400m -Xmx1400m

Elapsed time in a log scale

Query number

SF = 5

SF = 10

Conclusions

Summary

❖ Proposed an efficient XML storage scheme
base on DTM for iterative XQuery processing

❖ Our approach is effective for IO-intensive workloads
such as queries including '//'.
   ❖ Document-ordered block allocation
   ❖ Informed prefetching and scan-resistant caching

Future work

❖ Automatic database tuning based on online
analysis of data access patterns
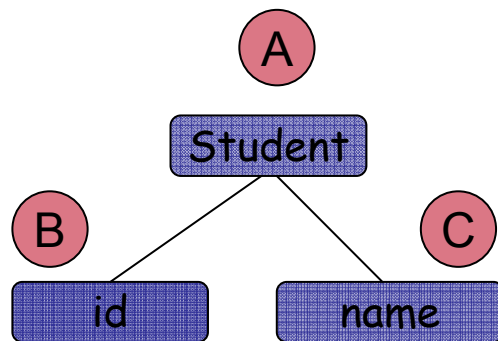(e.g., buffer replacement policy and prefetching)

Thank you for your attention!

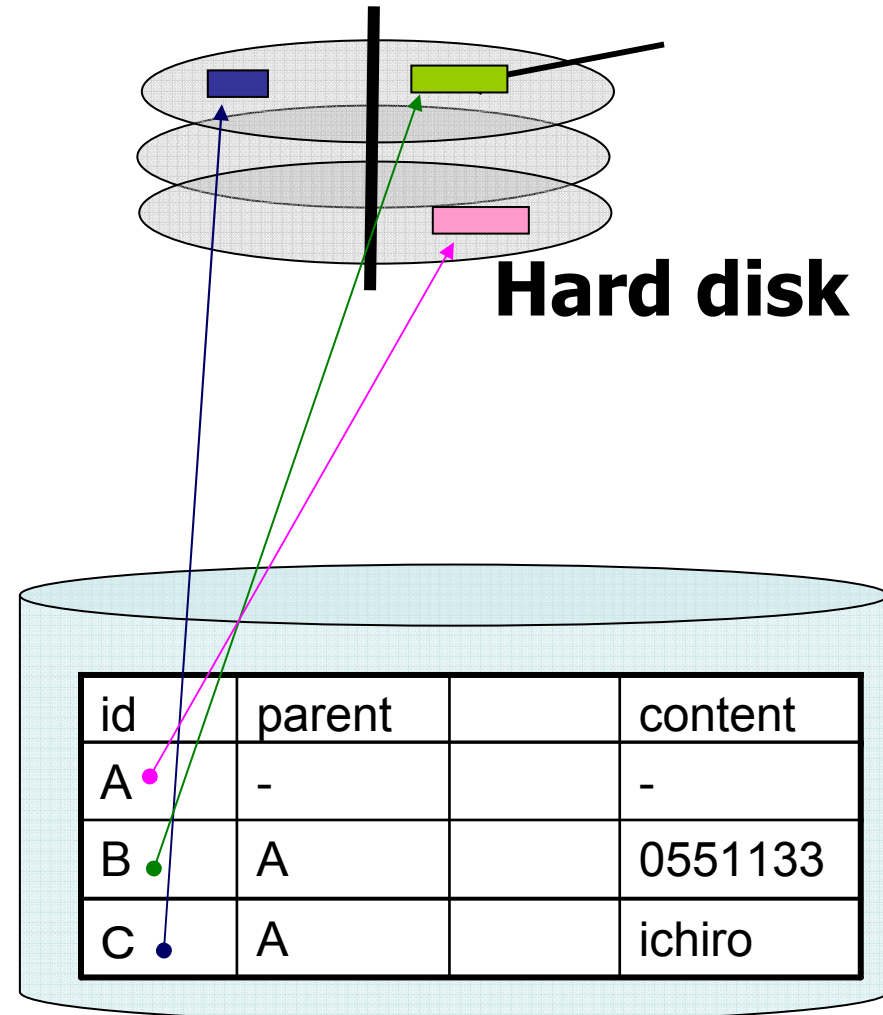Questions?

Problem in XML-Relational mapping

XML

```
<student>
  <id>0551133</id>
  <name>ichiro</name>
</student>
```
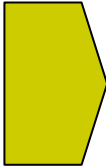
XML Tree

Mapping

Hard disk

id	parent		content
A	-		-
B	A		0551133
C	A		ichiro

Relational Table

23

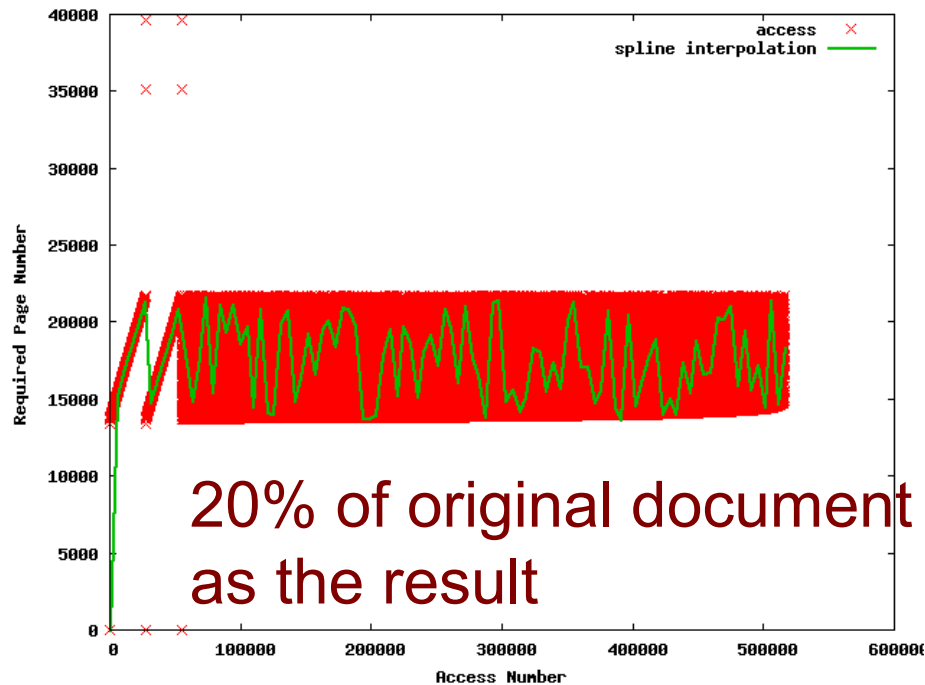| TYPE	R	E	E	E	T	E	T	E	E	T	E	E
PARENT	−	0	1	2	3	2	1	1	7	7	1	2
NEXTSIB	−	−	6	4	−	−	7	−	9	−	−	4
CID	−	1	2	3	0							3

When accessing to a record,

Logical address ➡ Physical address

For updating facilities, we change this method as follows:

Logical address ➡ ⬠ ➡ Physical address

Address conversion table

24

Buffer management (XMark Q10 as an example)

20% of original document is returned as the result

```
let $auction := fn:doc("auction.xml")
return
  for $i in distinct-values($auction/site/people/person/profile/interest/@category)
  let $p := for $t in $auction/site/people/person
            where $t/profile/interest/@category = $i
            return
              <personne>
              <statistiques>
                <sexe>{ $t/profile/gender/text() }</sexe>
                <age>{ $t/profile/age/text() }</age>
                <education>{ $t/profile/education/text() }</education>
                <revenu>{ fn:data($t/profile/@income) }</revenu>
              </statistiques>
              <coordonnees>
                <nom>{ $t/name/text() }</nom>
                <rue>{ $t/address/street/text() }</rue>
                <ville>{ $t/address/city/text() }</ville>
                <pays>{ $t/address/country/text() }</pays>
                <reseau>
                  <courrier>{ $t/emailaddress/text() }</courrier>
                  <pagePerso>{ $t/homepage/text() }</pagePerso>
                </reseau>
              </coordonnees>
              <cartePaiement>{ $t/creditcard/text() }</cartePaiement>
              </personne>
  return <categorie>{ <id>{ $i }</id>, $p }</categorie>
```

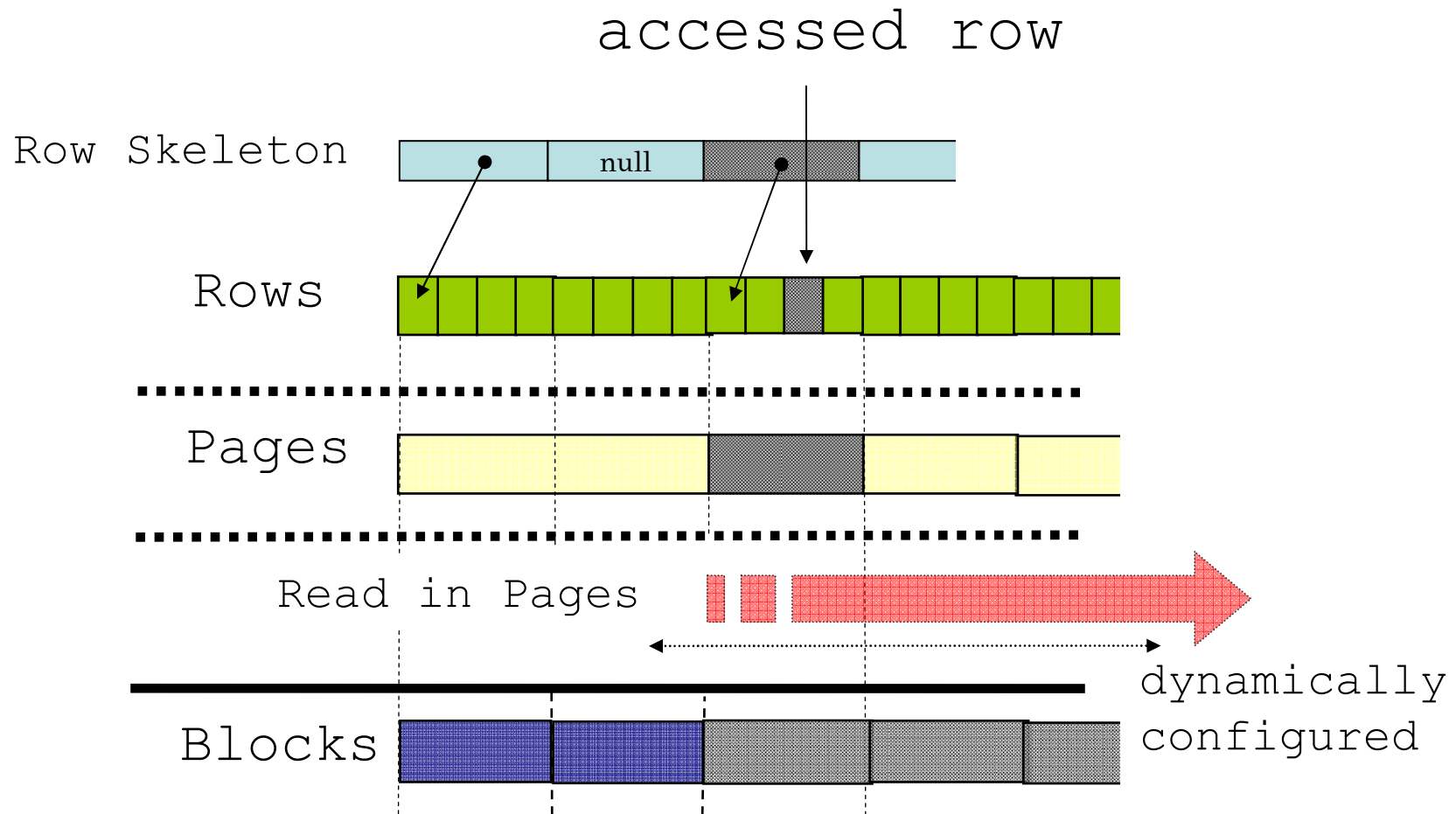|	Elapsed time (msec)	total read blocks	buffer replacement
LRU	211.83	1,919,586	567,702
2Q	185.56	80,673	0

14.2% speedups

25

```
let $auction := fn:doc("auction.xml")
return
 for $i in distinct-values($auction/site/people/person/profile/interest/@category)
 let $p := for $t in $auction/site/people/person
        where $t/profile/interest/@category = $i
        return
          <personne>
           <statistiques>
             <sexe>{ $t/profile/gender/text() }</sexe>
             <age>{ $t/profile/age/text() }</age>
             <education>{ $t/profile/education/text() }</education>
             <revenu>{ fn:data($t/profile/@income) }</revenu>
           </statistiques>
           <coordonnees>
             <nom>{ $t/name/text() }</nom>
             <rue>{ $t/address/street/text() }</rue>
             <ville>{ $t/address/city/text() }</ville>
             <pays>{ $t/address/country/text() }</pays>
             <reseau>
               <courrier>{ $t/emailaddress/text() }</courrier>
               <pagePerso>{ $t/homepage/text() }</pagePerso>
             </reseau>
           </coordonnees>
           <cartePaiement>{ $t/creditcard/text() }</cartePaiement>
          </personne>
 return <categorie>{ <id>{ $i }</id>, $p }</categorie>
```
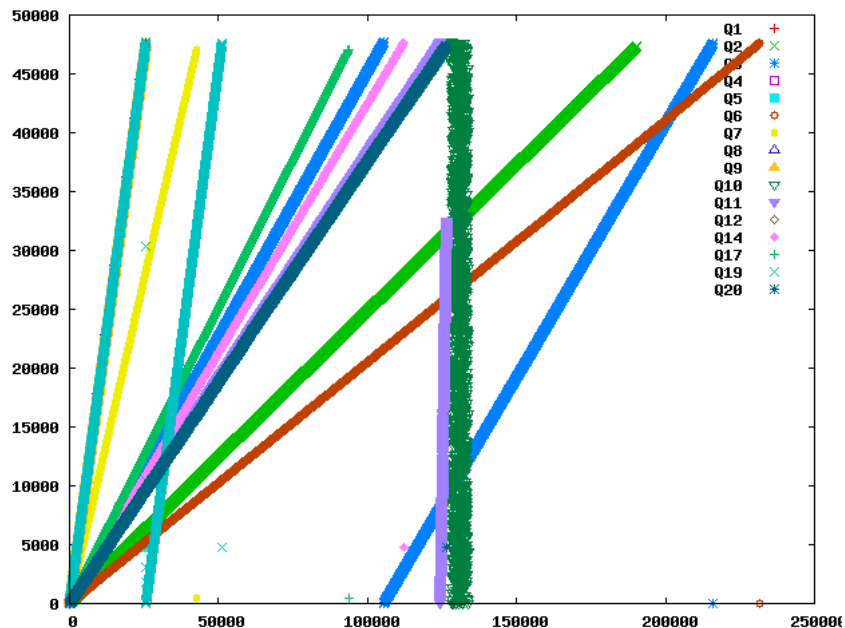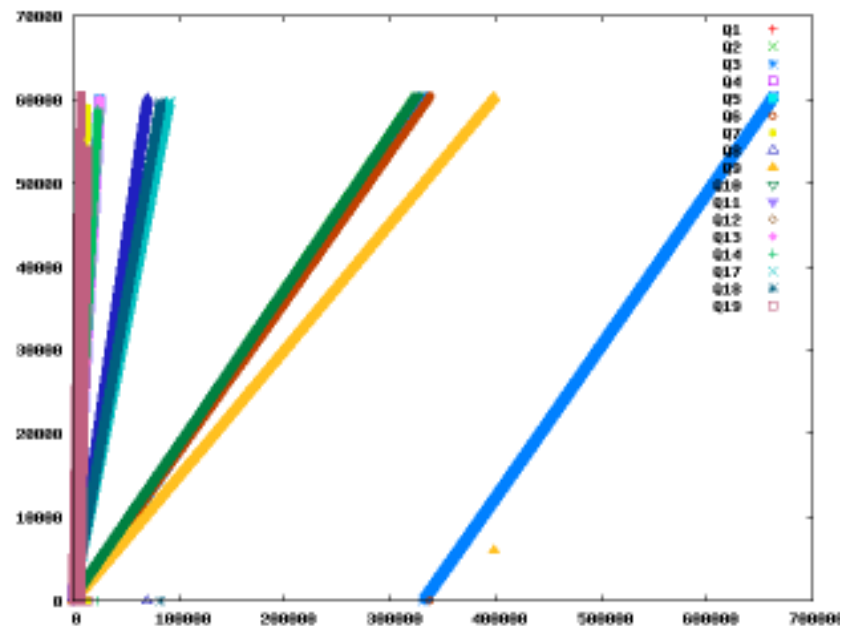
Pyshical layout

accessed row

Row Skeleton

Rows

Pages

Read in Pages

Blocks

dynamically
configured

Access pattern analysis of XBench queries

DC/SD Normal

TC/SD Normal

Memory Mapped DTM

```
#include <sys/mman.h>

void *mmap(void *start, size_t length, int prot, int flags,
        int fd, off_t offset);

int munmap(void *start, sizt_t length);
```

We present a memory mapped scheme
extending the DTM model, it has boost
the performance significantly.